

**Transmission: radiative transfer,  
albedo, attenuation (scattering,  
absorption) by molecules, aerosols  
and clouds.**

**Didier Tanré**

L.O.A., CNRS, U.S.T. de Lille  
59655 - Villeneuve d 'Ascq

# Content

Electromagnetic spectrum

Physical processes

General definitions

Atmospheric components

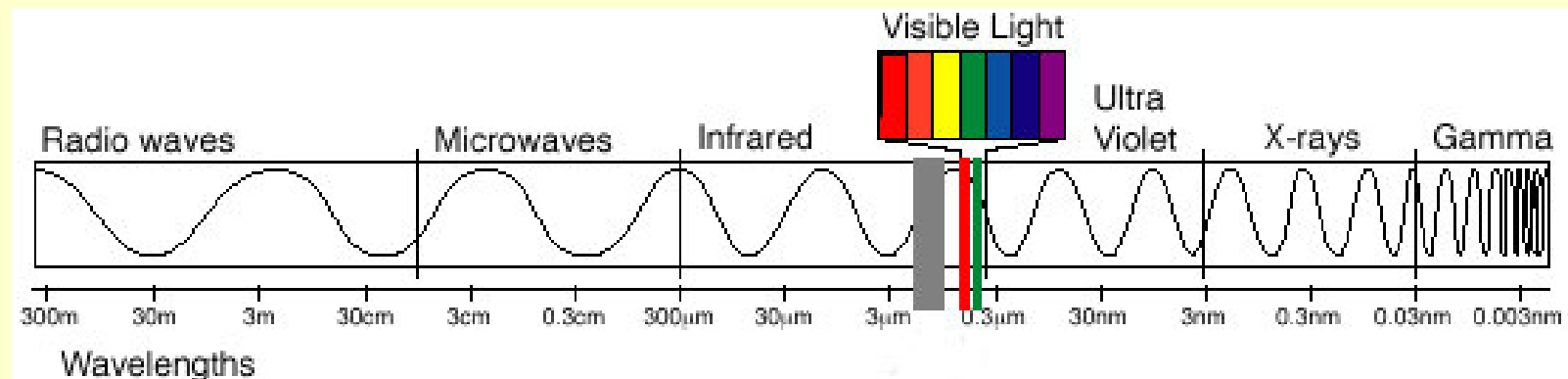
- Molecules/Gases
- Aerosols
- Clouds

Radiative transfer equation

- Single and multiple scattering

# Electromagnetic Spectrum

# The Electromagnetic Spectrum



- We are concerned by the “visible light” and the Infrared portions of the EM spectrum

# Sources: Solar spectrum & Earth Emission

Black Body radiation:

☞ Sun: 5800K

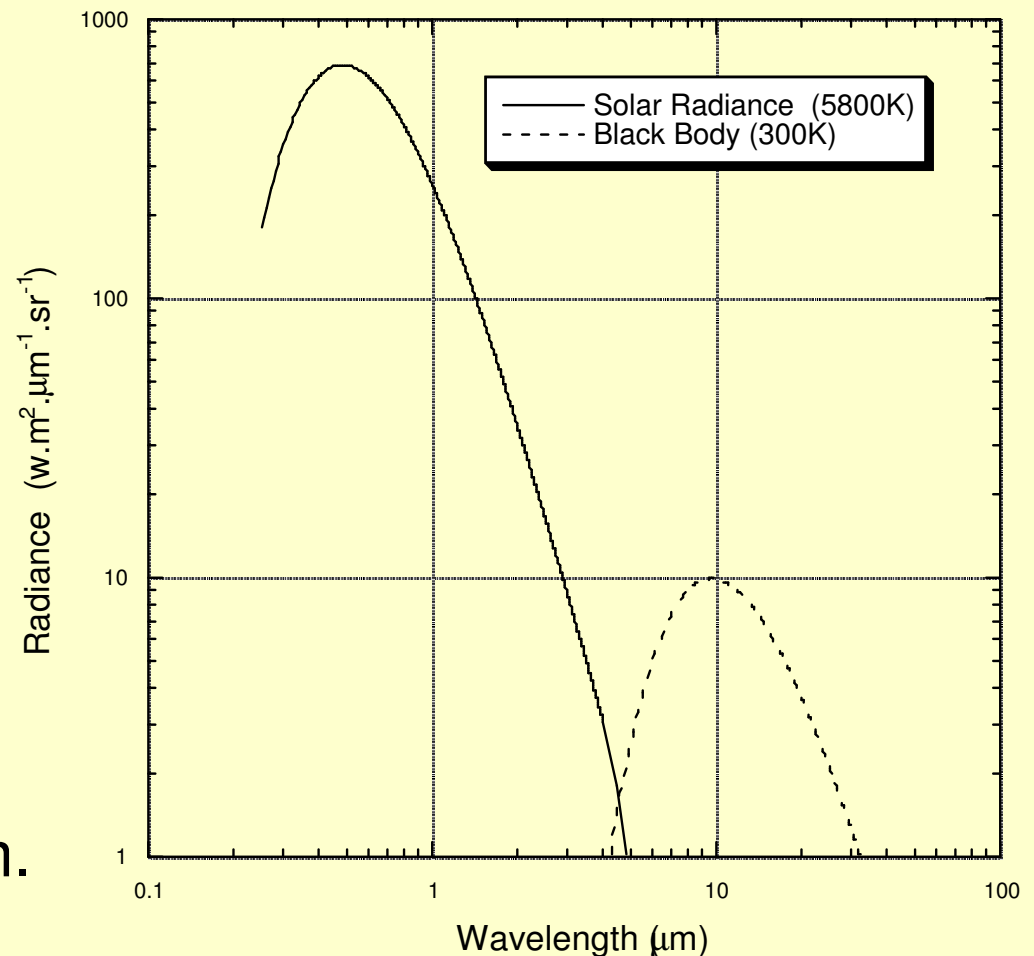
☞ Earth: 300K

Two regions:

☞ Up to  $3\mu\text{m}$ , no contribution from IR

☞ From  $5\mu\text{m}$ , solar contribution can be neglected

☞ Contributions are equivalent around  $4\mu\text{m}$ .



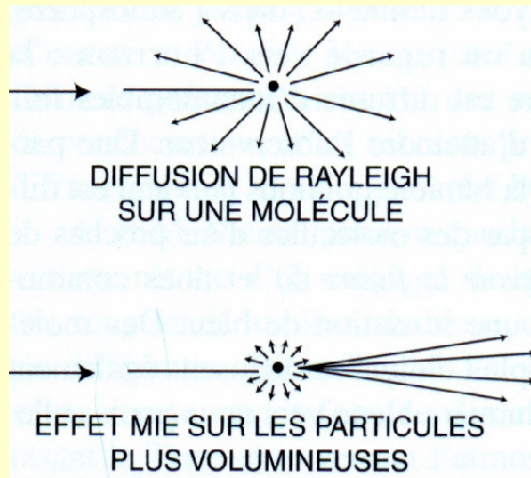
# Three Physical Processes

Scattering

Absorption

Emission

**Scattering:** it is a fundamental process associated with light and its interaction with matter, particles are the point source of the scattered energy



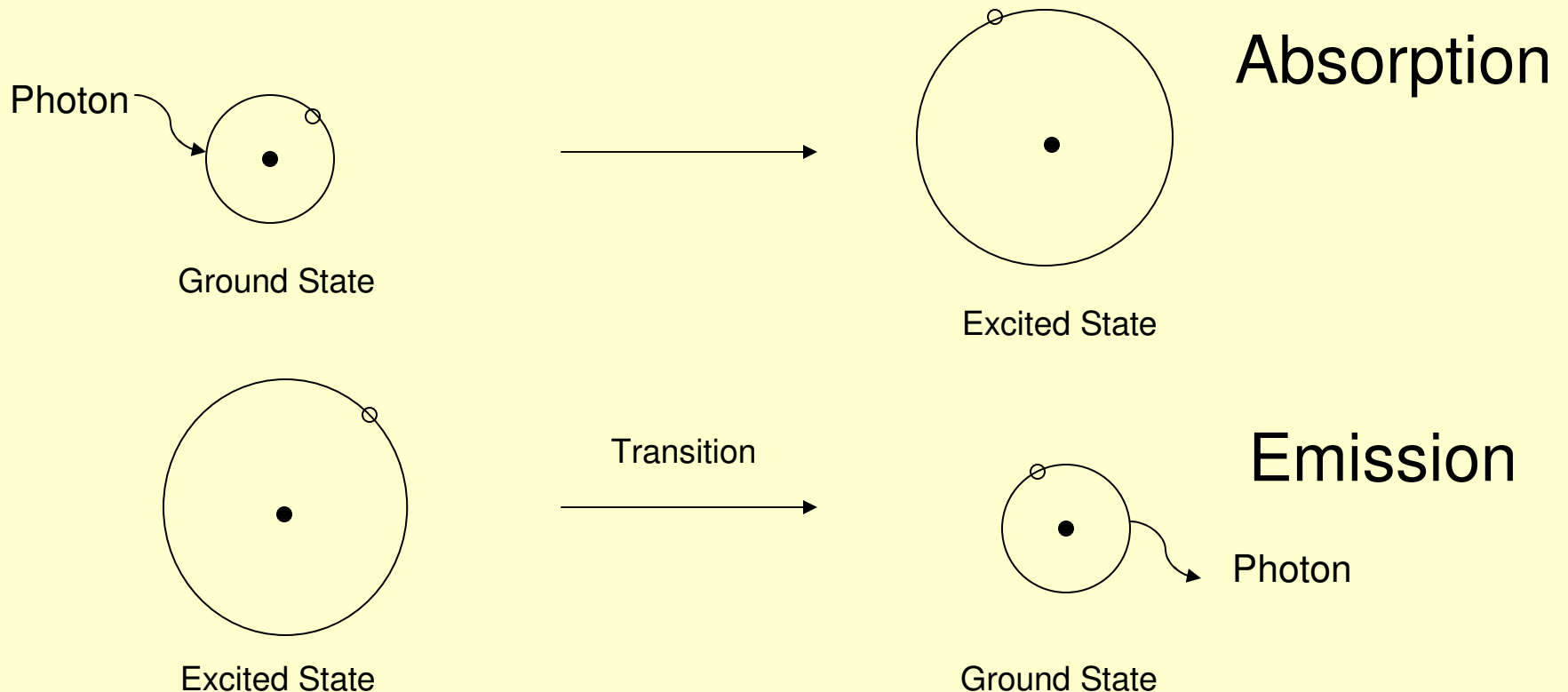
📄 Rayleigh: electric dipole

📄 Follows the Maxwell equations

# Absorption & Emission:

**Absorbed energy is converted into some other form and is no longer present at the same wavelength.**

**This absorption leads to emission.**



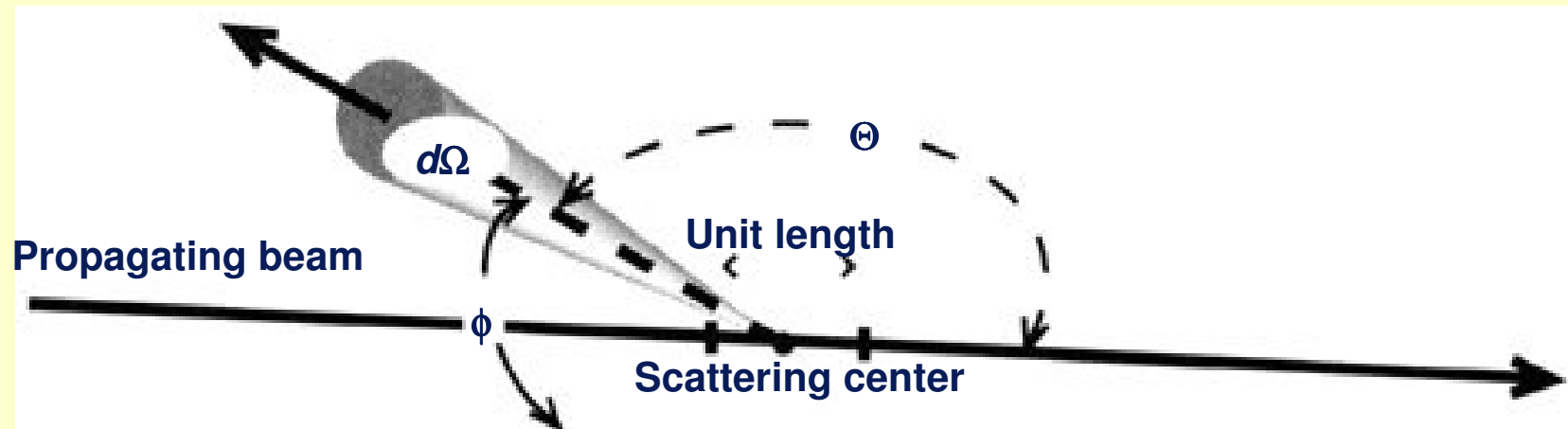


# General Definitions

(solar spectrum)

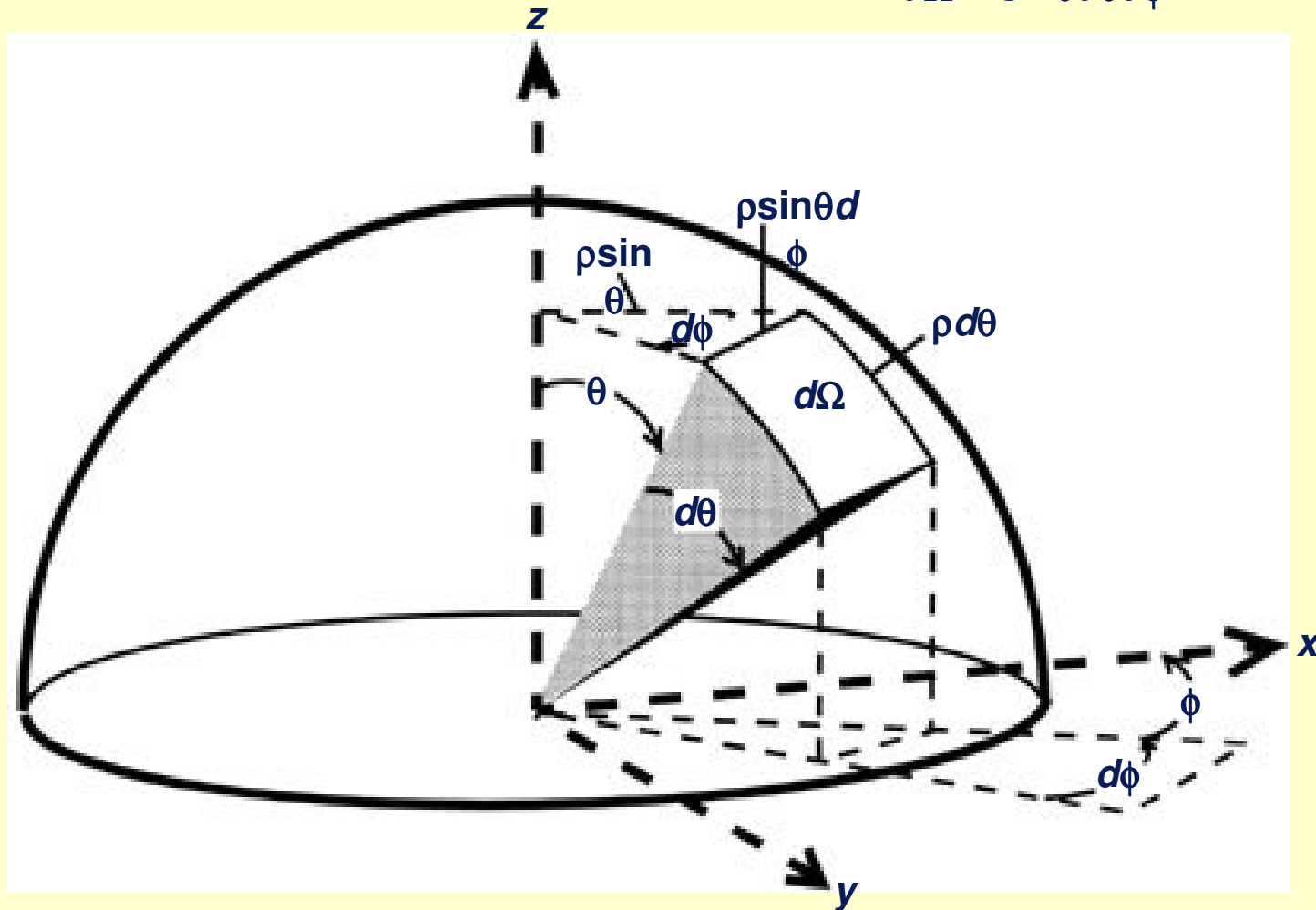
# Angular Scattering Coefficient

- Angular scattering coefficient  $[\beta(\Theta)]$ :
  - Fractional amount of energy scattered into the direction  $\Theta$  per unit solid angle per unit length of transit  $[\text{m}^{-1} \text{sr}^{-1}]$



# Angular Scattering Coefficient

$$d\Omega = \sin\theta d\theta d\phi$$



# Volume Scattering and Extinction Coefficient

- Volume scattering coefficient [ $\sigma_{\text{sca}}$ ]
  - Fractional amount of energy scattered in all directions per unit length of transit [ $\text{m}^{-1}$ ]

$$\begin{aligned}\sigma_{\text{sca}} &= \int \beta(\Theta) d\Omega \\ &= \int_0^{2\pi} \int_0^{\pi} \beta(\Theta) \sin \Theta d\Theta d\phi\end{aligned}$$

- Volume absorption coefficient [ $\sigma_{\text{abs}}$ ]
  - Fractional amount of energy absorbed per unit length of transit [ $\text{m}^{-1}$ ]

# Volume Scattering and Extinction Coefficient

- Volume extinction coefficient [ $\sigma_{\text{ext}}$ ]
  - Fractional amount of energy attenuated per unit length of transit [ $\text{m}^{-1}$ ]

$$\sigma_{\text{ext}} = \sigma_{\text{sca}} + \sigma_{\text{abs}}$$

- Single scattering albedo [ $\omega_0$ ]
  - Fraction of energy scattered to that attenuated

$$\omega_0 = \sigma_{\text{sca}} / (\sigma_{\text{sca}} + \sigma_{\text{abs}})$$

# Optical Thickness

- Optical depth [ $\tau$ ]
  - Total attenuation along a path length, generally a function of wavelength [dimensionless]

$$\tau(\lambda) = \int_0^X \sigma_{\text{ext}} dx$$

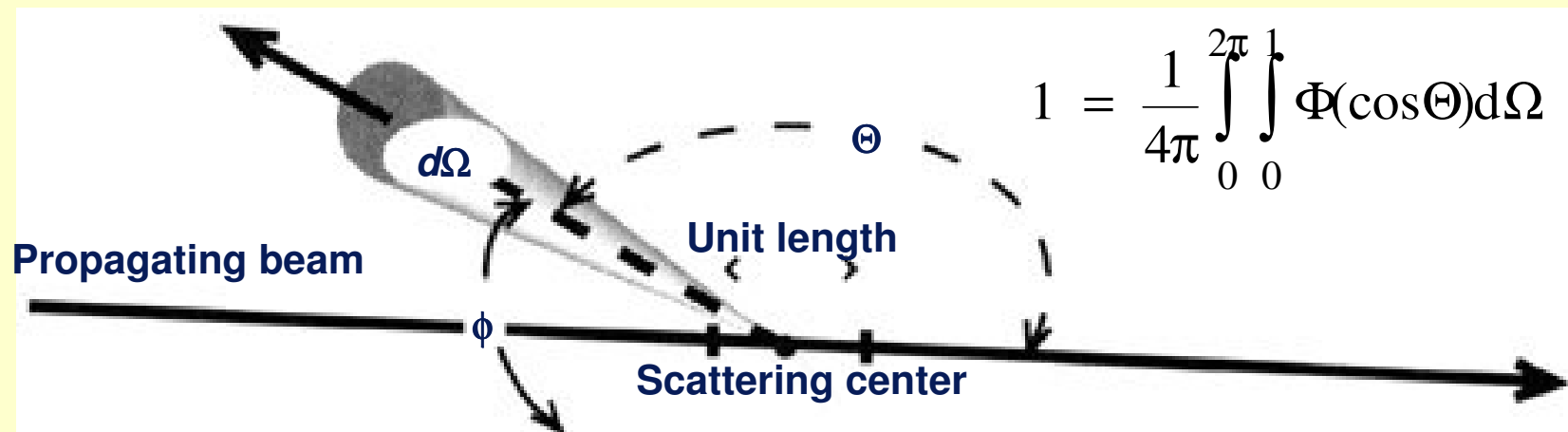
- Total optical thickness of the atmosphere [ $\tau_t$ ]
  - Total attenuation in a vertical path from the top of the atmosphere down to the surface

$$\tau_t(\lambda) = \int_0^{\infty} \sigma_{\text{ext}} dz$$

# Scattering Phase Function

- Scattering phase function is defined as the ratio of the energy scattering per unit solid angle into a particular direction to the average energy scattered per unit solid angle into all directions

$$\Phi(\cos \Theta) = \frac{\beta(\Theta)}{\int \beta(\Theta) d\Omega} = \frac{4\pi\beta(\Theta)}{\sigma_{\text{sca}}}$$



# Atmospheric components: (Solar spectrum)

Molecules:

Aerosols

Clouds (C. Stubenrauch)



# Atmospheric components: Molecules

- The main atmospheric gases are:
  - oxygen ( $O_2$ );
  - ozone ( $O_3$ );
  - water vapor ( $H_2O$ );
  - carbon dioxide ( $CO_2$ );
  - methane ( $CH_4$ );
  - nitrous oxide ( $N_2O$ ).

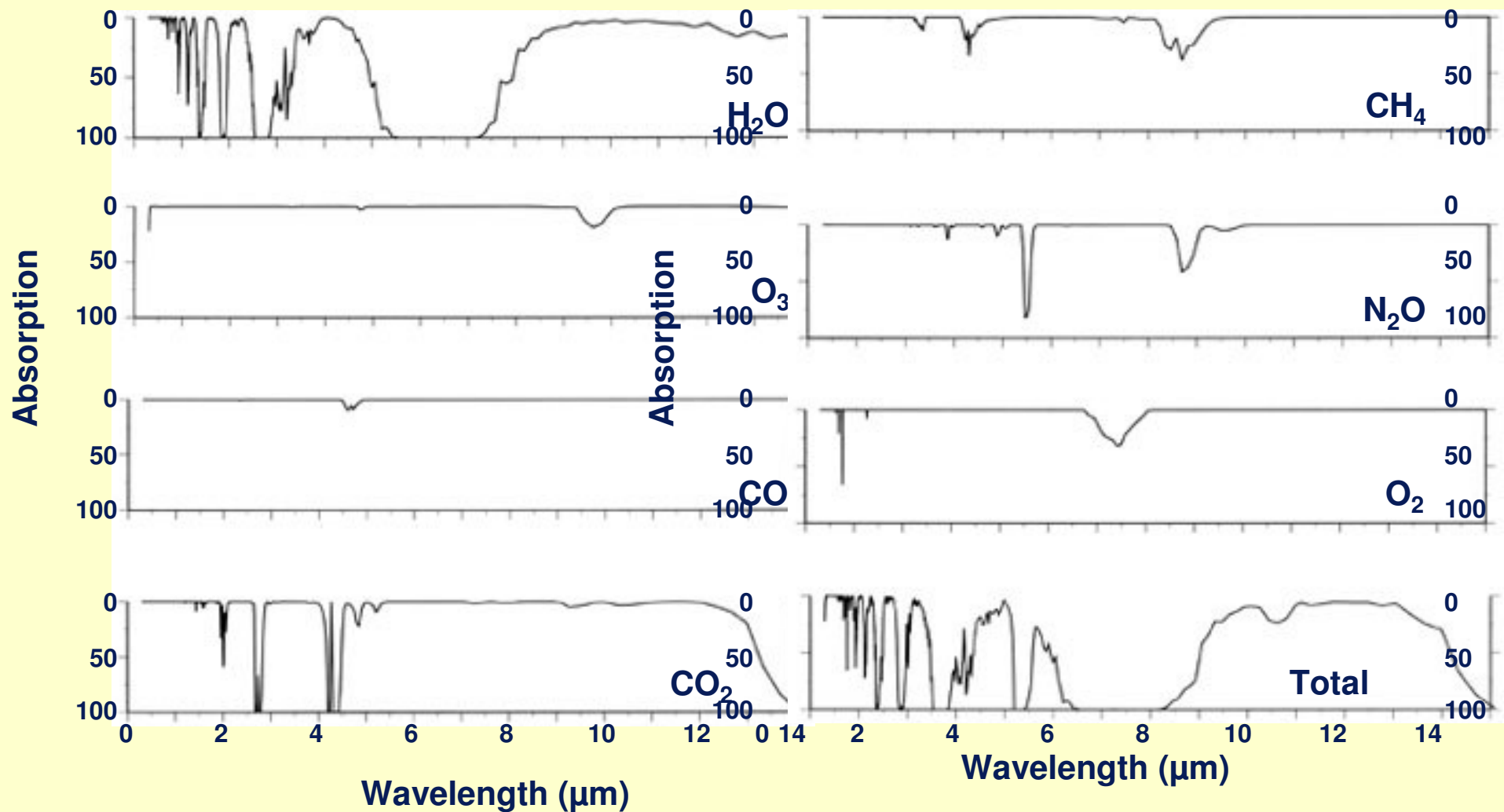
# Atmospheric components: gaseous absorption

- Gases absorb the radiation by changes of rotational, vibrational or electronic states.
  - rotational energy are weak and correspond to the emission or to the absorption located in microwave or far-infrared range.
  - vibrational transitions correspond to greater energy which open to absorption spectrum in the near infrared.
  - electronic transitions correspond to more important energy and give rise to absorption or emission bands in the visible and the ultra-violet range.

# Atmospheric components: gaseous absorption

- These transitions occur at discrete values, the absorption coefficients vary very quickly with the frequency and present a very complex structure

# Absorption Properties of the Earth's Atmosphere



# Atmospheric components: gaseous absorption

- $O_2$ ,  $CO_2$ ,  $CH_4$ , and  $N_2O$  are assumed constant and uniformly mixed in the atmosphere,  $H_2O$  and  $O_3$  concentrations depend on the time and the location.

# Atmospheric components: Molecular scattering

$$\tau(\lambda) = f (\lambda^{-4},$$

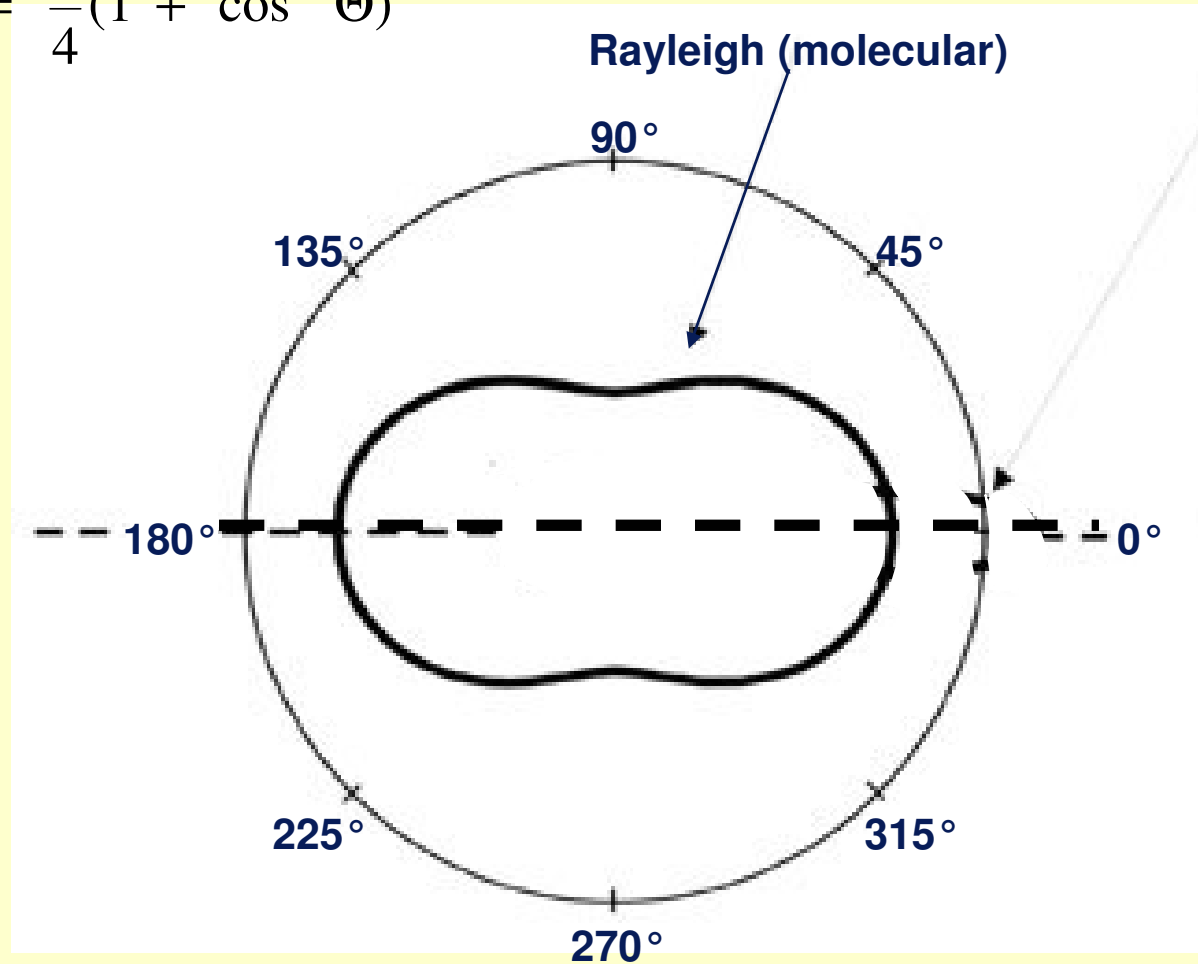
molecules number/cm<sup>3</sup> (P, T, Ps)

and cst= refractive index, molecular density);

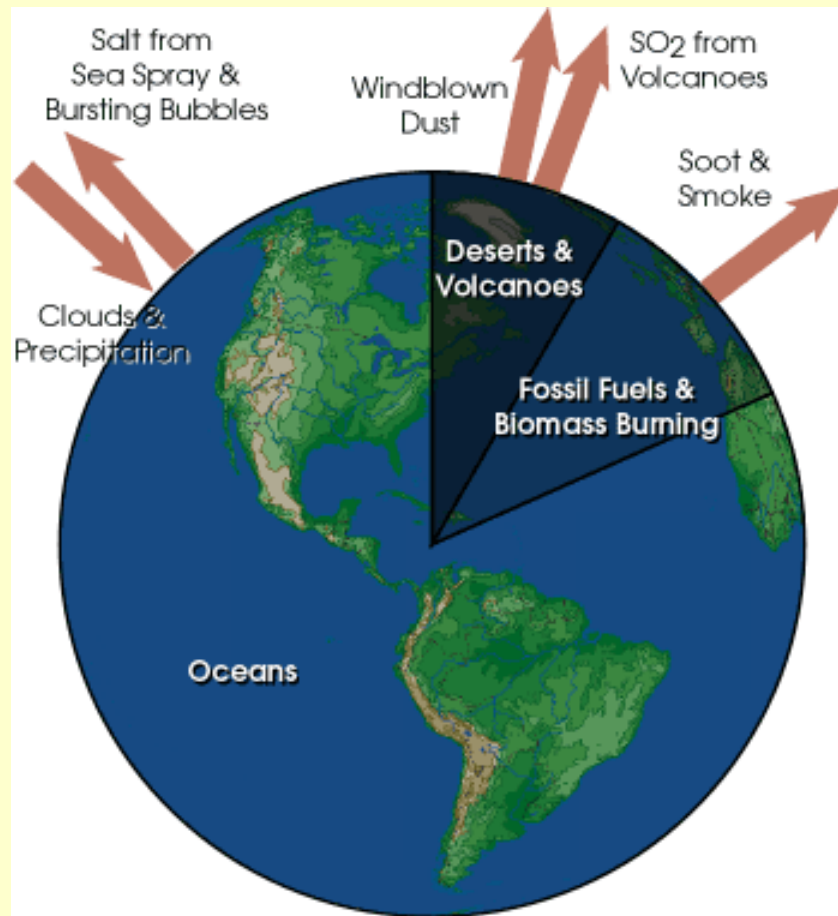
$$\tau (550) = 0.10$$

# Shapes of Scattering Molecular Phase Function

$$\Phi(\cos \Theta) = \frac{3}{4}(1 + \cos^2 \Theta)$$



# Atmospheric components: Aerosols



- Aerosol particles larger than about 1  $\mu\text{m}$  in size are produced by windblown dust and sea salt from sea spray and bursting bubbles (coarse mode)
- Aerosols smaller than 1  $\mu\text{m}$  are mostly formed by condensation processes such as conversion of sulfur dioxide ( $\text{SO}_2$ ) gas (released from volcanic eruptions) to sulfate particles and by formation of soot and smoke during burning processes (accumulation mode).
- After formation, the aerosols are mixed and transported by atmospheric motions and are primarily removed by cloud and precipitation processes.



# Scattering Coefficient:

Scattering efficiency depends on the size, refractive index and wavelength,

$$\frac{2 \times \pi \times r}{\lambda} (m - 1) = \alpha(m - 1)$$

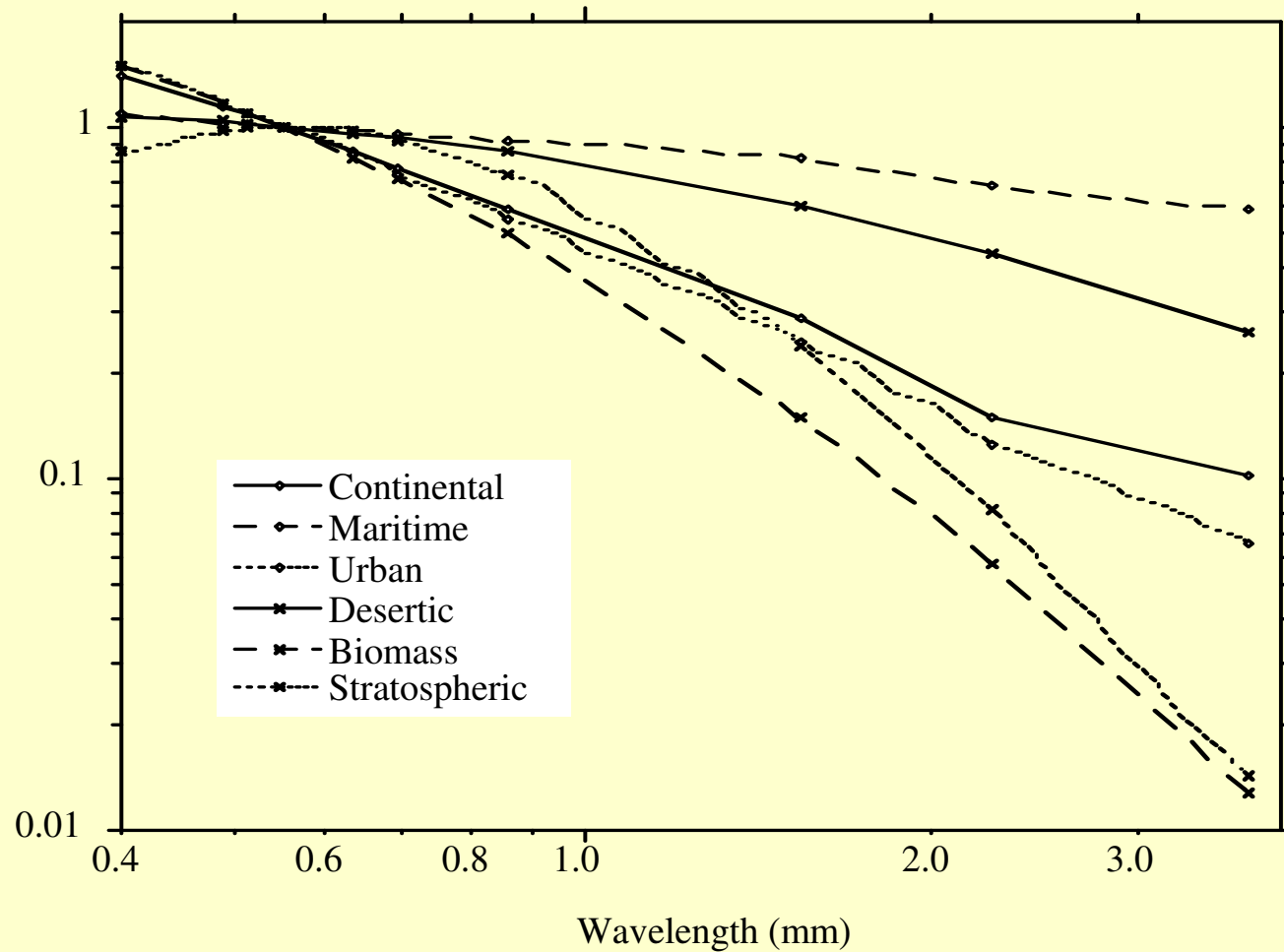
Efficiency is maximum for aerosol sizes similar to wavelength. In the visible solar spectrum, it corresponds to aerosols that are in the accumulation mode; In the infrared solar spectrum, it corresponds to aerosols that are in the coarse mode;

# Optical thickness:

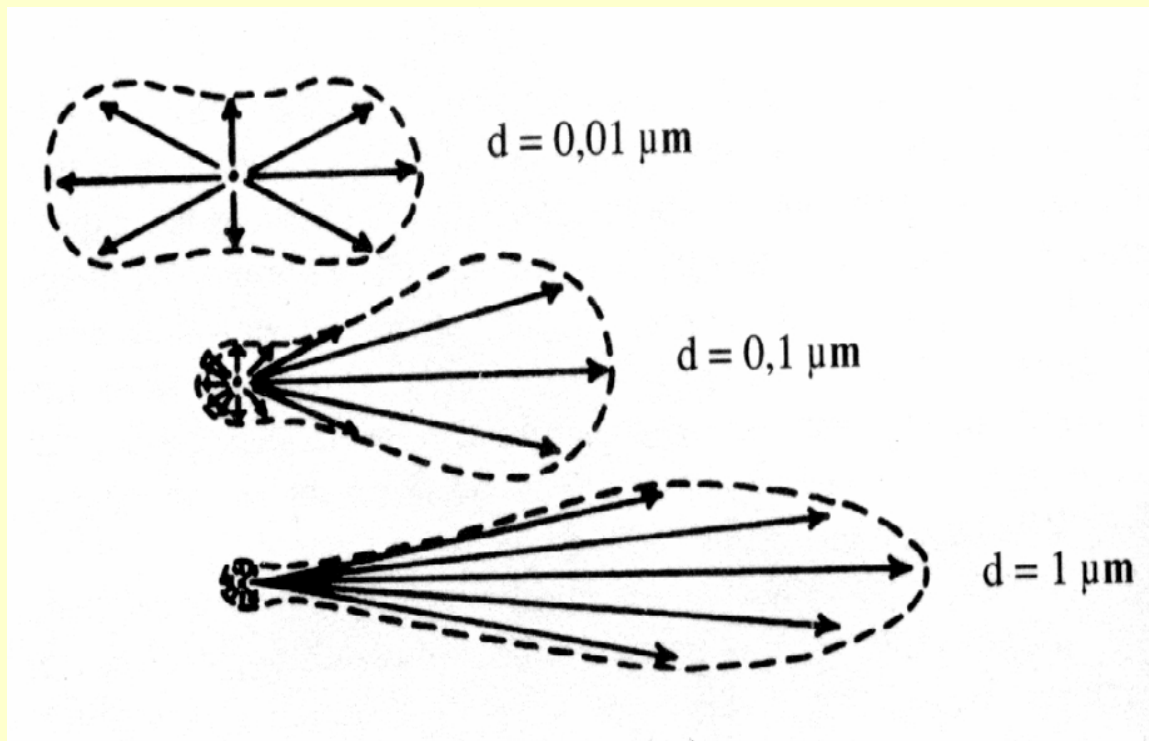
$\tau_a(\lambda) \sim C \cdot \lambda^{-\alpha}$  (C is proportional to the number of particles)

- $\alpha$  is the Angstrom exponent
  - If  $\alpha$  is around 1 ~ 2  $\Rightarrow$  small particles
  - If  $\alpha$  is around 0  $\Rightarrow$  large particles

# Spectral dependence of aerosol Optical thickness:



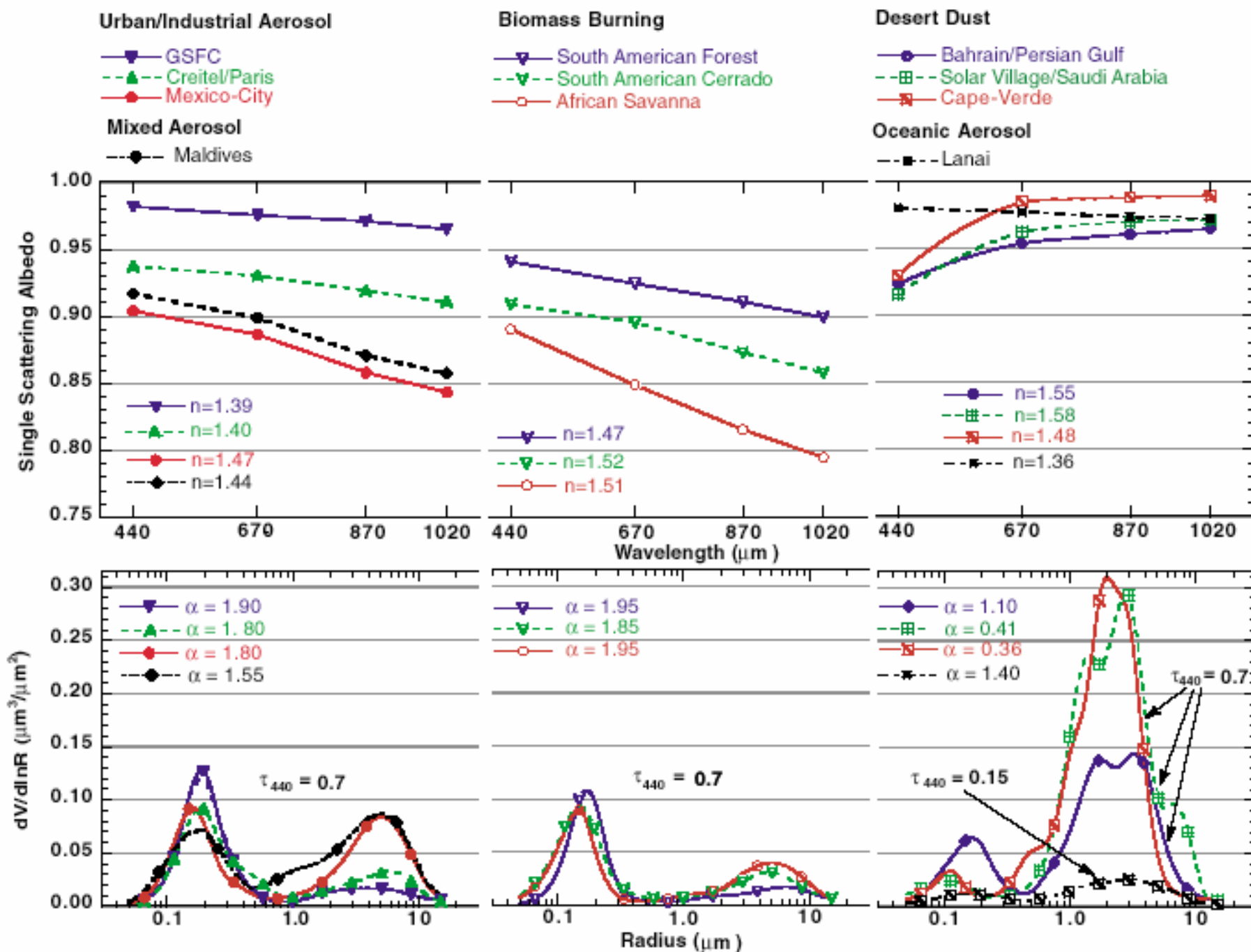
# Scattering Aerosol Phase Function

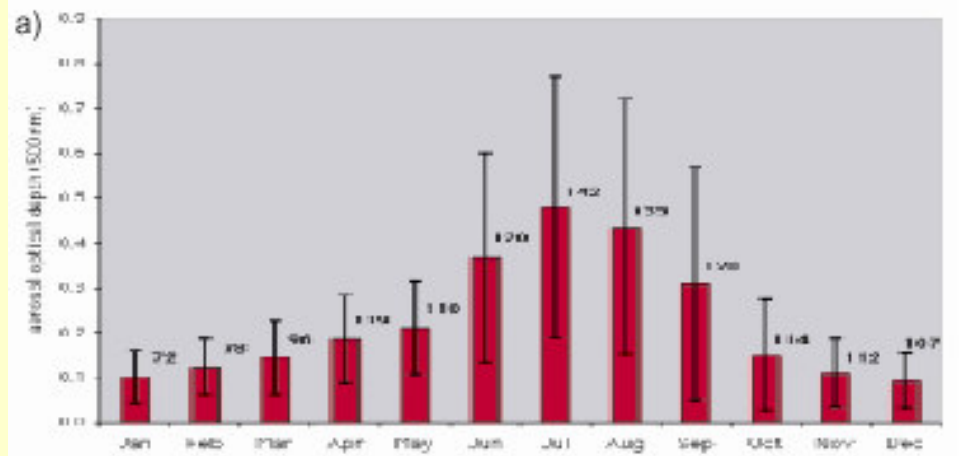


# Single scattering albedo:

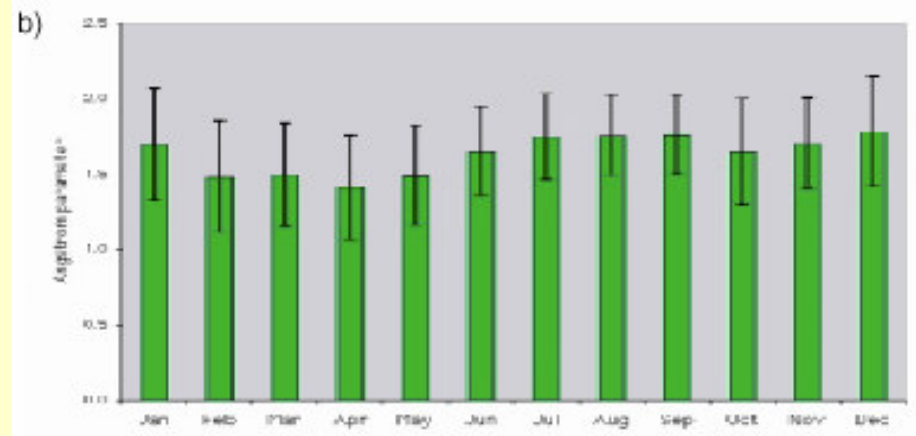
Aerosols are generally slightly absorbing  $\omega_0 > 0.80$

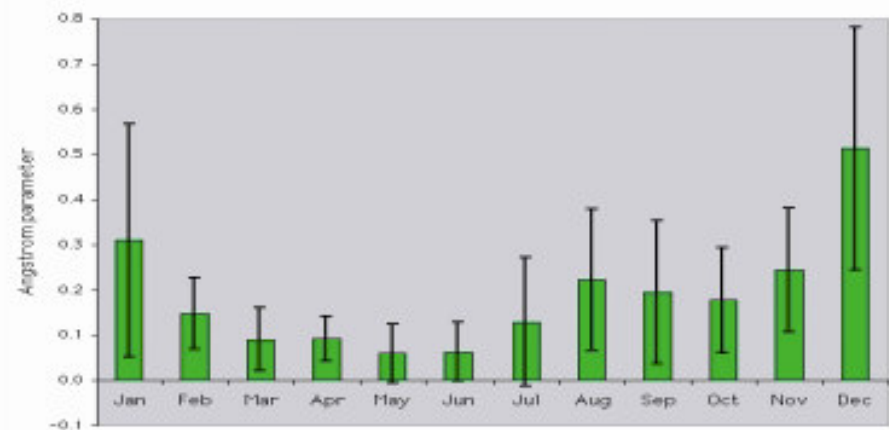
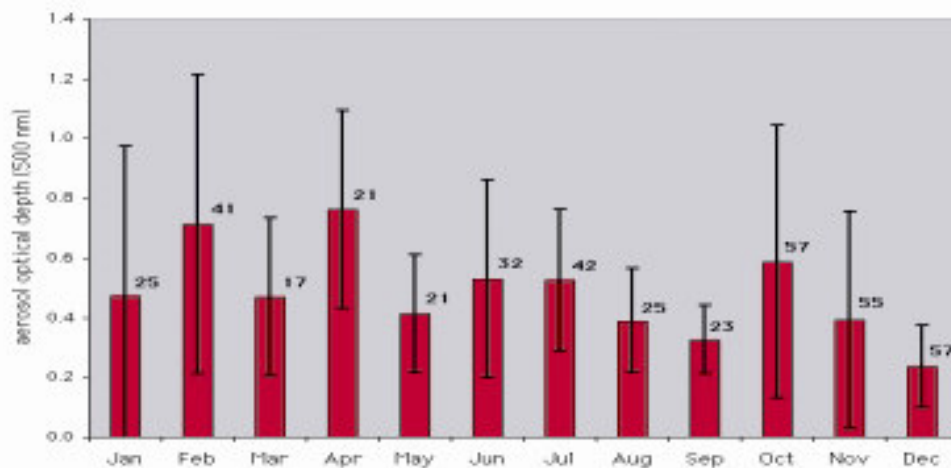
- Dust ( $1.0 > \omega_0 > 0.9$ )
- Biomass burning( $0.95 > \omega_0 > 0.80$ )  
depends on the ratio of BC/OC
- Sulfate(  $1.0 > \omega_0 > 0.95$ )





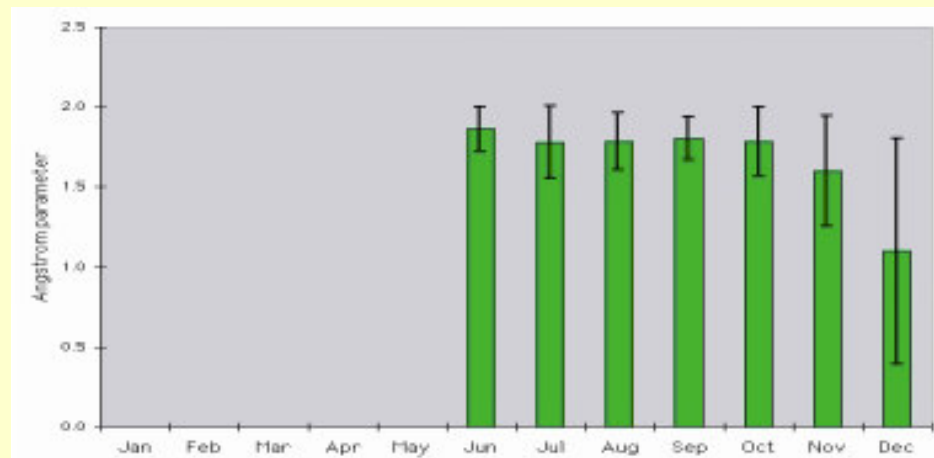
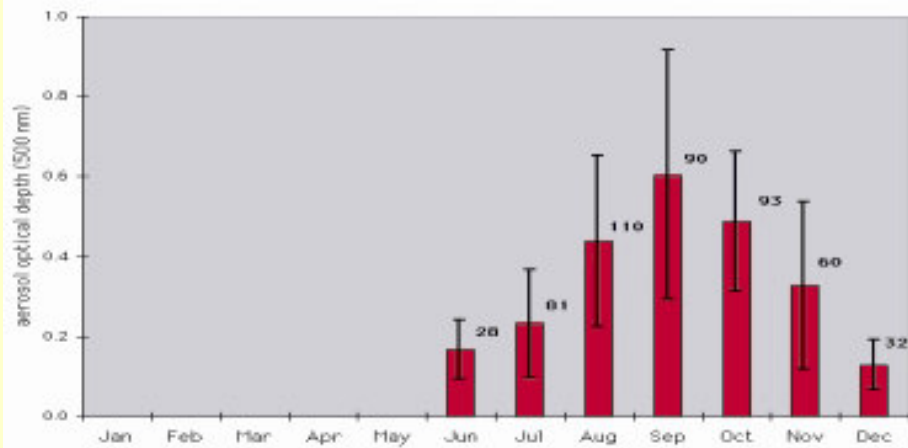
GSFC site/USA





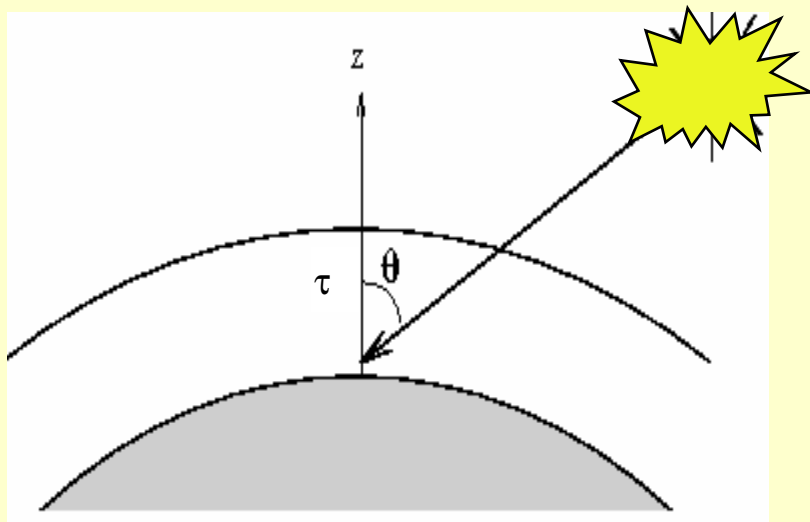
Banizoumbou site/Niger





Mongu site/Zambia

# Direct solar flux attenuated by the atmosphere

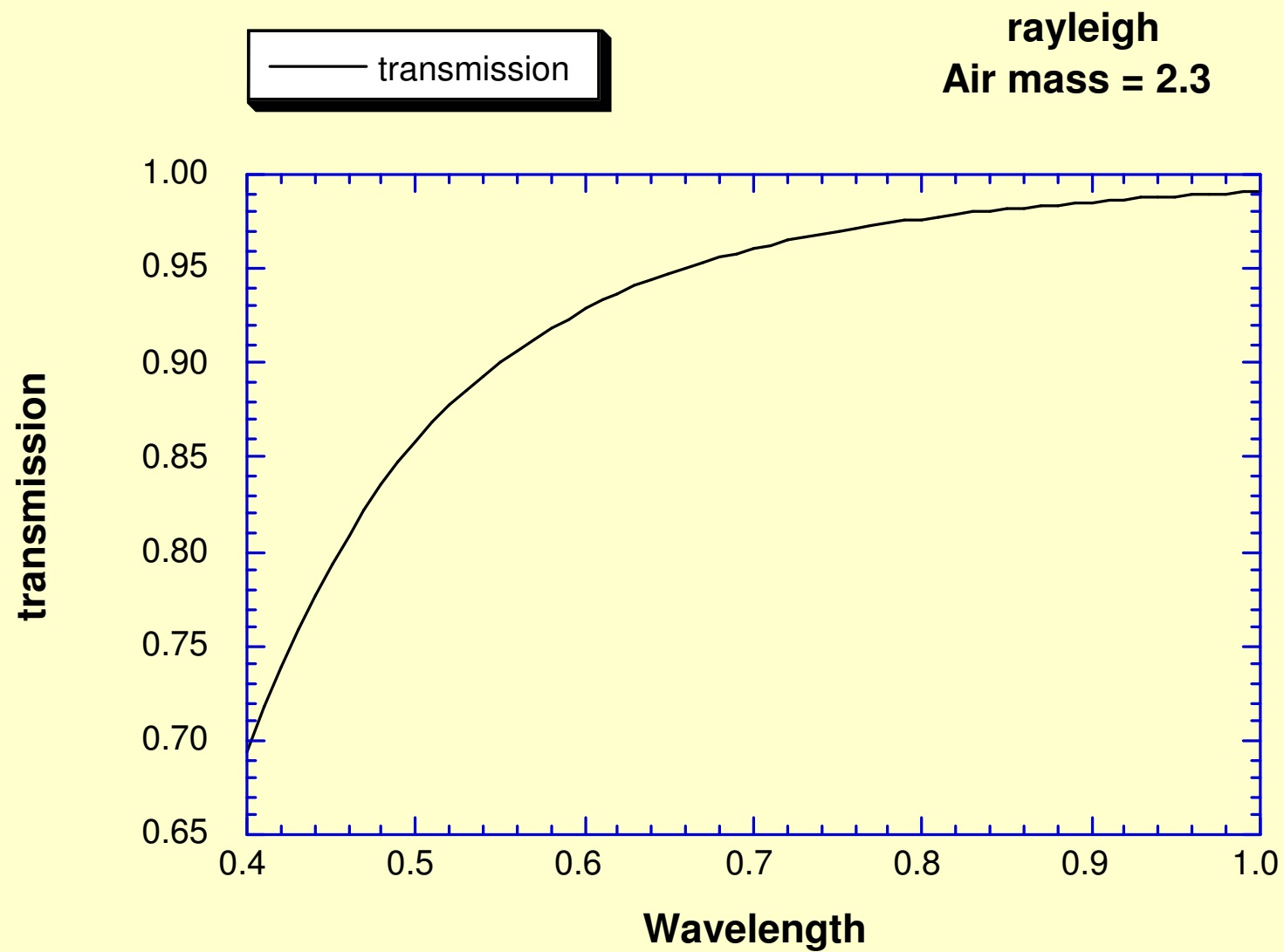


$$E(\lambda) = E_o(\lambda) \times T_g(\lambda) \times \exp(-\tau(\lambda) / \cos(\theta_o))$$

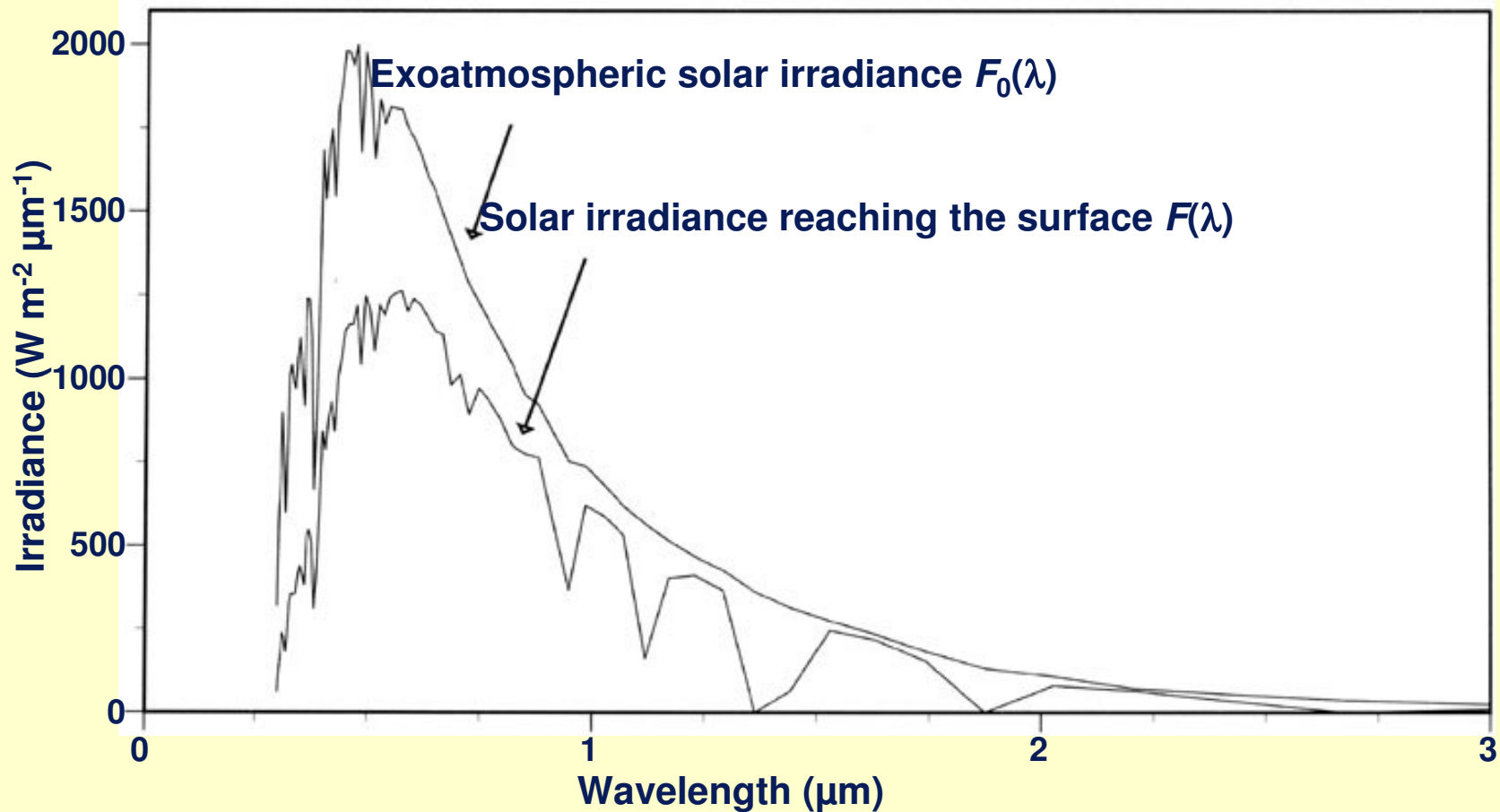
$$\tau(\lambda) = \tau_m(\lambda) + \tau_a(\lambda)$$

$T_g(\lambda)$  is the gaseous transmission ,  
complex structure,

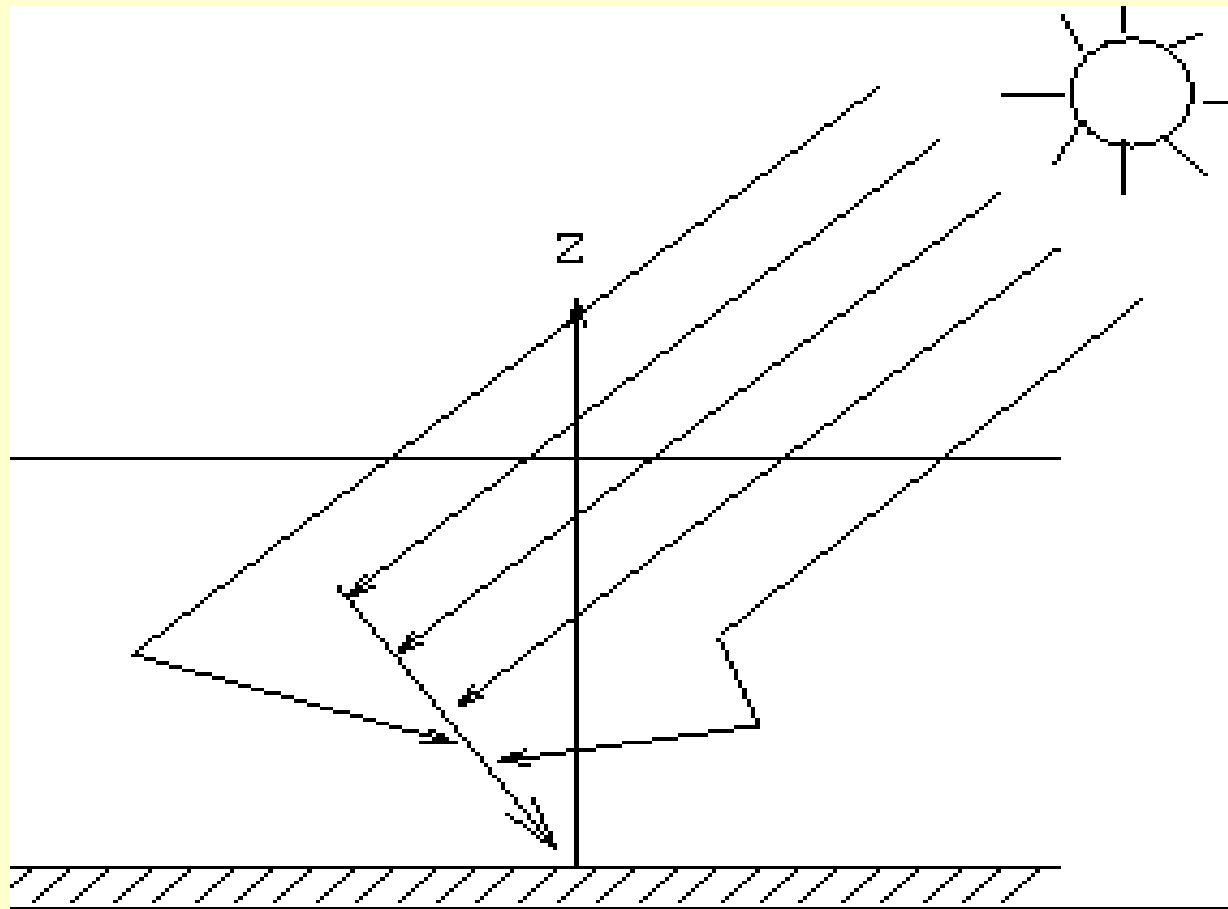
$\tau(\lambda)$  total optical thickness, smooth  
function,



# Direct solar flux attenuated by the atmosphere



# Scattering/Ground-Based Measurements



# Radiative Transfer Equation

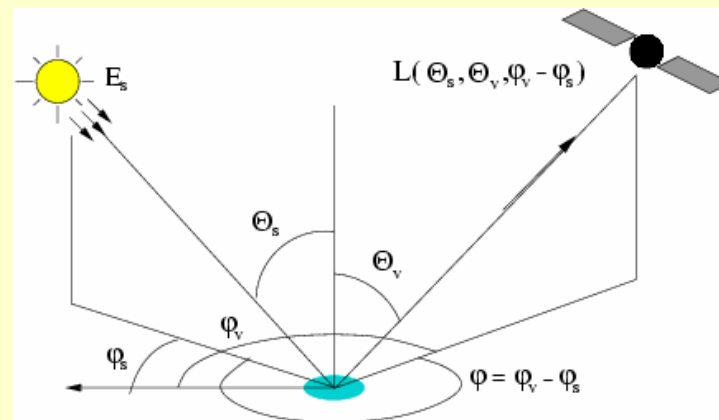
# Radiative transfer equation

Plane-parallel atmosphere:

Radiance  $L$  at altitude  $z$

Sun direction ( $\mu_s = \cos(\Theta_s)$ ,  $\phi_s$ )

View direction ( $\mu_v = \cos(\Theta_v)$ ,  $\phi_v$ )



$$\mu \frac{dL_\lambda(z; u, \phi)}{dz} = -\sigma_{e,\lambda} [L_\lambda(z; u, \phi) - J_\lambda(z; u, \phi)]$$

$J_\lambda$  Source function

It is a 4x4 pb if polarization is considered

# Radiative transfer equation

$$J_\lambda \quad \text{Source function} \quad \mathcal{I}^\nu = \mathcal{I}_{\text{sc}}^\nu + \mathcal{I}_{\text{em}}^\nu$$

$$J_\lambda^{sc} = \frac{\omega_\lambda(z)}{4\pi} \int_0^{2\pi} \int_{-1}^{+1} P_\lambda(z; \mu, \phi, \mu', \phi') L_\lambda(z; \mu', \phi') d\mu' d\phi'$$

$$J_\lambda^{em} = [1 - \omega_\lambda(z)] L_\lambda^B[T(z)]$$



# Radiative transfer equation

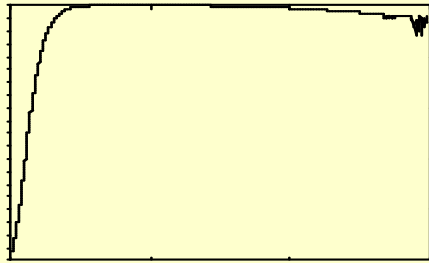
First scattering approximation, with no surface contribution :

$$\text{TOA} \quad L_{\lambda}[0; \mu > 0, \phi] = \frac{\overline{\omega}_0 \tau_{\lambda} P_{\lambda}(\mu; \mu_0; \phi - \phi_0)}{4\mu}$$

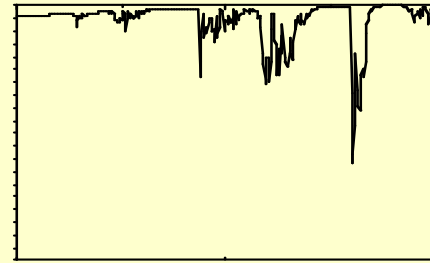
$$\text{BOA} \quad L_{\lambda}[\tau; \mu < 0, \phi] = \frac{\overline{\omega}_0 \tau_{\lambda} P_{\lambda}(\mu; \mu_0; \phi - \phi_0)}{4\mu}$$

# Conclusion:

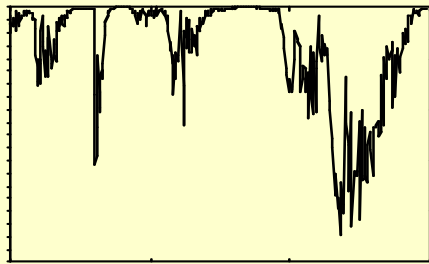
- Atmospheric Windows:
  - Visible (.35 $\mu$ m -.75 $\mu$ m)
  - Solar infrared (0.86 $\mu$ m; 1.02  $\mu$ m; 1.2 $\mu$ m,; 1.6 $\mu$ m; 2. 1 $\mu$ m, 3.7 $\mu$ m)
- Aerosols
  - Transmission function is « smoother »  $\tau_a(\lambda) \sim [0-2]$
  - Transmission can be very small
- The scattered light contributes to surface illumination
  - For instrument with small FOV (1.5°), it can be neglected except for very high optical thickness (>1.0)



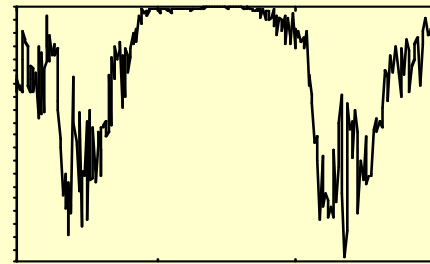
Visible Atmospheric window (1/2)



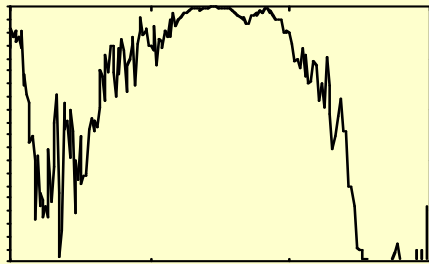
Visible Atmospheric window (2/2)



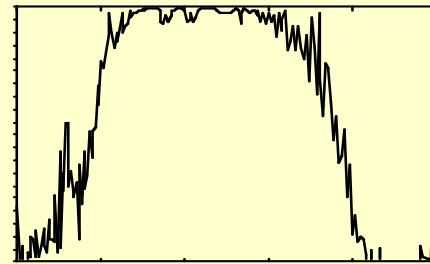
Atmospheric window at 0.85  $\mu m$



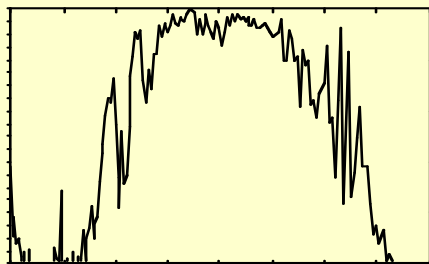
Atmospheric window at 1.06  $\mu m$



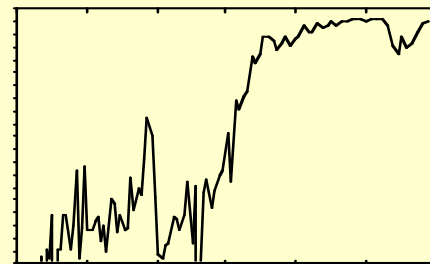
Atmospheric window at 1.22  $\mu m$



Atmospheric window at 1.60  $\mu m$



Atmospheric window at 2.20  $\mu m$



Atmospheric window at 3.70  $\mu m$

# Conclusion:

- Atmospheric Windows:
  - Visible (.35 $\mu$ m -.75 $\mu$ m)
  - Solar infrared (0.86 $\mu$ m; 1.02  $\mu$ m; 1.2 $\mu$ m; 1.6 $\mu$ m; 2. 1 $\mu$ m, 3.7 $\mu$ m)
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  - Transmission function is « smoother »  $\tau_a(\lambda) \sim [0-2]$
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